

Advanced Diploma in Financial Planning

FN005A

Financial Services and Insurance



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About the Programme

This programme prepares students for the CFP® Certification Examination (Foundation Level) and CFP® Certification Examination (Advanced Level Paper 1 and Advanced Level Paper 2) organized by the Institute of Financial Planners of Hong Kong. In addition, the programme also enables students to enter Year 3 of Edinburgh Napier University's Bachelor of Arts (with Honours) in Financial Services degree programme. Besides, degree holders can further their studies in finance postgraduate programmes (e.g., Postgraduate Diploma in Investment Management, Postgraduate Diploma in Investment Management and Financial Intelligence, Postgraduate Diploma in Finance and Data Analytics, Postgraduate Diploma in FinTech and Legal Regulations, and Postgraduate Diploma in Financial Analytics and Algo Trading).

Objective

The programme aims to prepare students to qualify for the CFP® Certification Examination as well as articulate into a Bachelor degree in Financial Services. The CFP® Education programme consisting of 5 CFP® modules has been incorporated into the programme. Students will gain a full understanding of the main areas of practice in Financial Planning and will be able to design and implement a financial plan for potential clients upon completing the programme. Students will also be exposed to various business subjects in the programme in order to broaden their knowledge and prepare them for further studies at the Bachelor degree level.



Programme Structure

The full programme now consists of 11 modules as follow:

Foundation Level Module
Module 1: Fundamentals of Financial Planning (FFP) for AFP (FINA4149)
CFP® Advanced Level Paper 1 Modules
Module 2: Investment Planning and Asset Management (FINA4150)
Module 3: Insurance Planning and Risk Management (FINA4151)
Module 4: Tax Planning and Estate Planning (ACCT4064)
CFP® Advanced Level Paper 2 Module
Module 5: Advanced Financial Planning (FINA4152)
Business Modules
Module 6: Basic Accounting (ACCT4001)
Module 7: Business Economics (Economics) (FINA4154)
Module 8: Business Economics (Statistics) (FINA4155)
Module 9: Management Information Systems (IMAT4001)
Module 10: Business Management (Organisational Behaviour) (MGNT4065)
Module 11: Marketing of Financial Services (FINA4156)

Student who has complete all 11 modules in the programme (Modules 1 to 11) will be awarded the Advanced Diploma in Financial Planning.

Student who has complete all the first 5 modules in the programme (Modules 1 to 5) will be granted an exit award, the Diploma in Financial Planning.

Exemptions from the 6 business modules may be granted to those who have completed equivalent courses at recognised post-secondary institutions or professional examinations. For the Advanced Diploma, the maximum number of exemptions are 60 out of 120 credits.



Admission

There are various intakes per year around January, April and August.

Assessment Methods

All modules will be assessed by a mix of coursework, assignment, project/case study, presentation and/or examination.

Programme Fee

For the latest course fee, please refer to the programme website for more information. The payment is by module. (*\$150 non-refundable for application fee; course fees are subject to change without prior notice).

Advanced Diploma in Financial Planning

QF Level: 4 QR Registration No.: 14/003649/L4 Validity Period: 01 Jan 2015 - on-going



Diploma in Financial Planning

QF Level: 4 QR Registration No.: 14/003650/L4 Validity Period: 01 Jan 2015 - on-going



Some modules of this course have been included in the list of reimbursable courses under the Continuing Education Fund

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This course is recognised under the Qualification Framework (QF) level 4

Application

Applicants can apply online or fill out the enclosed application form and take it in person to one of the locations below. Applicants should include a non-refundable application fee of HK\$150 to cover the cost of processing (Cheques should be crossed and make payable to "HKU SPACE"). Please also bring the original and photostat copies of your ID Card and relevant certificate and transcripts.

Entry Requirements

Applicants should:

- have gained in the HKCEE Grade E in 3 subjects including Level 2 in Chinese Language and English Language,* OR
- have gained in the HKDSE Examination Level 2 in 5 subjects, OR
- be 21 years of age or above and have 3 years of work experience.

* With effect from 2007, HKU SPACE recognises Grade E previously awarded for Chinese Language and English Language (Syllabus B) (Grade C in the case of English Language (Syllabus A)) at HKCEE as an acceptable alternative to Level 2 in these two subjects at HKCEE.

Articulation to Higher Level Programme(s)

Graduates of the Diploma in Financial Planning, with IFPHK candidacy, are qualified to sit for the Associate Financial Planner Certification Examination, CFP® Advanced Level Paper 1 Certification Examination and CFP® Advanced Level Paper 2 Certification Examination offered by the Institute of Financial Planners of Hong Kong.

Enquiries

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